

Practitioner's Docket No. 2815/105

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Johnson et al.

Atty Dkt: 2815/105

Serial No: 10/705,571

Art Unit: 2664

Date Filed: November 10, 2003

Examiner: W. Chin

Invention: Dial Up Telephone Conferencing System  
Controlled by an Online Computer Network

Date: November 16, 2005

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
WITHIN THREE MONTHS OF FILING OR  
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))

IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b).

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\*

(When using Express Mail, the Express Mail label number is *mandatory*;  
*Express Mail certification is optional.*)

I hereby certify that, on the date shown below, this correspondence is being:

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37 C.F.R. § 1.8(a)

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37 C.F.R. § 1.10\*

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Signature

Date: November 16, 2005

Robert M. Asher  
(type or print name of person certifying)

\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

DATE: November 16, 2005

A handwritten signature in black ink, reading "Robert M. Asher", is positioned above a horizontal line.

**Robert M. Asher**  
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02815/00105 446398.1

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

NOTE: "An information disclosure statement shall be considered by the Office if filed by the applicant:

(1) Within three months of the filing date of a national application;

(2) Within three months of the date of entry of the national stage as set forth in section 1.491 in an international

(Supplemental Information Disclosure Statement--page 1 of 9)

CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) and 1.10\*

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37 C.F.R. SECTION 1.8(a)

37 C.F.R. SECTION 1.10\*

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TRANSMISSION

☐ transmitted by facsimile to the Patent and Trademark Office.



Signature

Robert M. Asher

Date: November 16, 2005

(type or print name of person certifying)

**\*WARNING:** Each paper or fee filed by "Express Mail" **must** have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. section 1.10(b).  
"Since the filing of correspondence under section 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

application; or

(3) Before the mailing date of a first Office action on the merits, whichever event occurs last." 37 C.F.R. section 1.97(b).

NOTE: "Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section." 37 C.F.R. section 1.56(a).

"Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) each inventor named in the application;
- (2) each attorney or agent who prepares or prosecutes the application; and
- (3) every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application." 37 C.F.R. section 1.56(c).

NOTE: The "duty as described in section 1.56 will be met so long as the information in question was cited by the Office or submitted to the Office in the manner prescribed by sections 1.97(b) - (d) and 1.98 before issuance of the patent." Notice of January 9, 1992, 1135 O.G. 13-25 at 17.

**WARNING:** "No information disclosure statement may be filed in a provisional application." 37 C.F.R. section 1.51(b).

### **List of Sections Forming Part of This Information Disclosure Statement**

The following sections are being submitted for this Information Disclosure Statement:

*(check sections forming a part of this statement: discard unused sections and number pages consecutively)*

1. ☒ Preliminary Statements
2. ☒ Forms PTO/SB/08A and 08B (substitute for Form PTO-1449)
3. ☐ Statement as to Information Not Found in Patents or Publications
4. ☐ Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted
5. ☐ Cumulative Patents or Publications
6. ☒ Copies of Listed Information Items Accompanying This Statement
7. ☐ Concise Explanation of Non-English Language Listed Information Items
  - 7A. ☐ JEPO Search Report
  - 7B. ☐ English Language Version of EPO Search Report
8. ☐ Translation(s) of Non-English Language Documents
9. ☐ Concise Explanation of English Language Listed Information Items (Optional)
10. ☒ Identification of Person(s) Making This Information Disclosure Statement

*(complete the following, if appropriate)*

Sections \_\_\_\_\_, respectively, have been continued on ADDED PAGE(S).

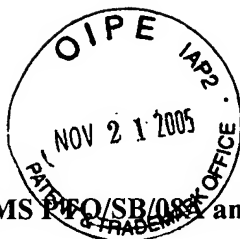
NOTE: "Once the minimum requirements are met, the examiner has an obligation to consider the information." Notice of April 20, 1992 (1138 O.G. 37-41, 37).

## **Section 1. Preliminary statements**

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. section 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.



**SECTION 2. FORMS PTO/SB/08A and 08B (formerly Form PTO-1449)**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Johnson et al. Attorney Docket: 2815/105  
 Serial No: 10/705,571 Art Group Unit: 2664  
 Date Filed: November 10, 2003 Examiner Name: W. Chin  
 Invention: Dial Up Telephone Conferencing System Controlled by an Online Computer Network

**LIST OF PATENTS AND PUBLICATIONS FOR  
 APPLICANT'S INFORMATION DISCLOSURE STATEMENT**

U.S. PATENT DOCUMENTS					
Examiner Initials	Reference Number	Document Number	Issue Date	Inventor	Class/Subclass
	AA	5,559,875	Sep. 24, 1996	Bieselin et al.	379/202
	AB	5,586,177	Dec. 17, 1996	Farris et al.	379/230
	AC	5,619,555	Apr. 8, 1997	Fenton et al.	379/67
	AD	5,629,978	May 13, 1997	Blumhardt et al.	379/201
	AE	5,631,948	May 20, 1997	Bartholomew et al.	379/67
	AF	5,661,782	Aug. 26, 1997	Bartholomew et al.	379/67
	AG	5,661,790	Aug. 26, 1997	Hsu	379/209
	AH	5,664,102	Sep. 2, 1997	Faynberg	395/200.76
	AI	5,680,442	Oct. 21, 1997	Bartholomew et al.	379/67
	AJ	5,765,108	Jun. 9, 1998	Martin et al.	455/422
	AK	5,790,548	Aug. 4, 1998	Sistanizadeh et al.	370/401
	AL	5,793,771	Aug. 11, 1998	Darland et al.	370/467
	AM	5,793,839	Aug. 11, 1998	Farris et al.	379/34
	AN	5,812,639	Sep. 22, 1998	Bartholomew et al.	379/89
	AO	5,818,836	Oct. 6, 1998	DuVal	370/389
	AP	5,852,656	Dec. 22, 1998	Sato et al.	379/93.21
	AQ	5,878,130	Mar. 2, 1999	Andrews et al.	379/265
	AR	5,884,032	Mar. 16, 1999	Bateman et al.	395/200
	AS	5,896,500	Apr. 20, 1999	Ludwig et al.	395/200.34
	AT	5,916,302	Jun. 29, 1999	Dunn et al.	709/204
	AU	5,933,490	Aug. 3, 1999	White et al.	379/221
	AV	5,995,608	Nov. 30, 1999	Detampel et al.	379/205
	AW	6,122,255	Sep. 19, 2000	Bartholomew et al.	370/237
	AX	6,125,113	Sep. 20, 2000	Farris et al.	370/389
	AY	6,130,933	Oct. 10, 2000	Miloslavsky	379/90.01
	AZ	6,137,792	Oct. 24, 2000	Jonas et al.	370/354
	BA	6,147,988	Nov. 12, 2000	Bartholomew et al.	370/352
	BB	6,154,445	Nov. 28, 2000	Farris et al.	370/237
	BC	6,167,432	Dec. 26, 2000	Jiang	709/204

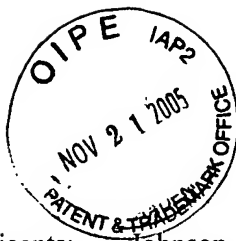


Applicants: Johnson et al. Attorney Docket: 2815/105  
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	<b>BD</b>	6,215,858	Apr. 10, 2001	Bartholomew et al.	<b>379/88.17</b>
	<b>BE</b>	6,222,826	Apr. 24, 2001	Faynberg et al.	<b>370/252</b>
	<b>BF</b>	6,438,218	Aug. 20, 2002	Farris et al.	<b>379/88.17</b>
	<b>BG</b>	6,650,631	Nov. 18, 2003	Benash et al.	<b>370/352</b>
	<b>BH</b>	6,870,827	Mar. 22, 2005	Voit et al.	<b>370/352</b>

<b>FOREIGN PATENT DOCUMENTS</b>						
Examiner Initials	Reference Number	Country Code	Document Number	Publication Date	Patentee or Applicant	Class/Subclass
	<b>BI</b>	CA	2303392	Apr. 6, 2004	Menard	
	<b>BJ</b>	EP	0847178	Jan. 14, 2004	Dunn et al.	

<b>OTHER DOCUMENTS</b>			
Examiner Initials	Reference Number	Author	Title of Article, Title of Journal, Volume Number, Page Numbers, Date
	<b>BK</b>	Parameshwar et al.	"Advanced SIP Series: SIP and 3GPP", Award Solution, Inc., pp. 1-9.
	<b>BL</b>	Faynberg et al.	"A Proposal for Internet and Public Switched Telephone Networks (PSTN) Internetworking", Network Working Group, pp. 1-10, March 1997.
	<b>BM</b>	Krishnaswamy et al.	"Information Exchange to be Supported by the Service Support Transfer Protocol (SSTP)" July, 1997.
	<b>BN</b>	Conroy et al	"Analysis of Services and Interfaces Used When Interworking Between the Internet and the Intelligent network (I.N.)" pp. 1-19, July 7, 1997.
	<b>BO</b>	Sinnreich et al.	"Standards Framework for Internet Telephony", August 12, 1997.
	<b>BP</b>	Krishnaswamy et al.	"PSTN-Internet Internetworking --An Architecture Overview", pp. 1-15, November 1997.



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	<b>BQ</b>	Burg et al.	<i>"An Architecture and Protocols for Initiation and Control of Telephone Calls From Terminals Connected to a Call Broker Over a TCP/IP Connection"</i> , Internet Engineering Task Force, pp. 1-13, December 1997.
	<b>BR</b>	Dalias et al.	<i>"Bay Networks SS7-Internet Gateway Architecture"</i> , Transport Working Group, pp. 1-11, May 1998.
	<b>BS</b>		<i>"Cisco Delivers SS7 Solution for Advanced IP Network Access"</i> , Cisco Systems, Inc., June 8, 1998.
	<b>BT</b>	Cuervo et al.	<i>"SS7-Internet Internetworking Architectural Framework"</i> , July 1998.
	<b>BU</b>	Arango et al.	<i>"Simple Gateway Control Protocol (SGCP)"</i> , Internet Engineering Task Force, pp. 1-79, July 30, 1998.
	<b>BV</b>	Ma	<i>"H.323 Signaling and SS7 ISUP Gateway: Procedure Interworking"</i> , Telecom Technologies, Inc., October 1998.
	<b>BW</b>	Forbes	<i>"Requirements for Functional Architecture for IN Support of IP-Networks"</i> , ITU Telecommunications, March 1, 1999.
	<b>BX</b>		<i>"Lucent Technologies Announces Click-to-Dial Web Services and Intelligent Network Capabilities For Voice Over Data Networks"</i> , Lucent Technologies, May 12, 1999.
	<b>BY</b>	Vaha-Sipila	<i>"URLs for Telephone Calls"</i> , pp. 1-18, August 19, 1999.
	<b>BZ</b>	Höneisen	<i>"Convergence of Internet and Intelligent Networks: Interactions of Services Using PINT"</i> , Nokia Research Center, pp. 1-106, September 10, 1999.





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	CA	Petrack et al.	<i>"The PINT Service Protocol: Extensions to SIP and SDP for IP Access to Telephone Call Services"</i> , Internet Engineering Task Force, pp. 1-60, October 6, 1999.
	CB	Faynberg et al.	<i>"Converged Networks and Services: Internetworking IP and the PSTN"</i> , Wiley Computer Publishing, pp. 1-347, January, 2000.
	CC		<i>"Nortel Networks Universal Signaling Point for Seamless Interworking"</i> , Nortel Networks, January 2000.
	CD		<i>"SS7 Tutorial"</i> , Performance Technologies, Inc., January 2000.
	CE	Greene et al.	<i>"Media Gateway Control Protocol Architecture and Requirements"</i> , Network Working Group, April 2000.
	CF	Rosen et al.	<i>"BGP / MPLS VPNs"</i> , Network Working Group May 2000.
	CG	Petrack et al.	<i>"The PINT Service Protocol: Extensions to SIP and SDP for IP Access to Telephone Call Services"</i> , June 2000.

Examiner Signature: \_\_\_\_\_

Date Considered: \_\_\_\_\_

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation *if not* in conformance and not considered. Include copy of this form with next communication to applicant.

## Section 6. Copies of Listed Information Items Accompanying This Statement

*NOTE: 37 C.F.R. section 1.98(a)(2) requires that any information disclosure statement filed under section 1.97 shall include: "A legible copy of: (i) Each U.S. and foreign patent; (ii) Each publication or that portion which caused it to be listed; and (iii) All other information or that portion which caused it to be listed, except that no copy of a U.S. patent application need be included . . ."*

*NOTE: The wording in section 1.98(a)(2)(iii) makes it clear that the requirement to submit a copy of each item of information listed in an information disclosure statement does not apply to the citation of a U.S. patent application. Notice of January 9, 1992, 1135 O.G. 13-25, at 14.*

Legible copies of all items listed in Forms PTO/SB/08A and 08B (substitute for Form PTO-1449) accompany this information statement.

*(complete the following, if applicable)*

☒ Exception(s) to above:

**U.S. patent citations are not included pursuant to the United State Patent and Trademarks Office's September 21, 2004 waiver of the copy requirement in 37 CFR 1.98 for cited pending U.S. patent citations when the patent citations are available in the USPTO's IFW system.**

☐ Items in prior application, from which an earlier filing date is claimed for this application, as identified in Section 4.

☐ Cumulative patents or publications identified in Section 5.

**Section 10. Identification of Person(s) Making This Information Disclosure Statement**

The person making this certification is

*(check each applicable item)*

(a) ☐ the inventor(s) who signs below

\_\_\_\_\_  
**SIGNATURE OF INVENTOR**

\_\_\_\_\_  
*(type name of inventor who is signing)*

(b) ☐ an individual associated with the filing and prosecution of this application (37 C.F.R. section 1.56(c))

\_\_\_\_\_  
**SIGNATURE OF INVENTOR**

\_\_\_\_\_  
*(type name of inventor who is signing)*

(c) ☒ the practitioner who signs below on the basis of the information:

*(check each applicable item)*

☐ supplied by the inventor(s).

☐ supplied by an individual associated with the filing and prosecution of this application. (37 C.F.R. section 1.56(c)).

☒ in the practitioner's file.

  
\_\_\_\_\_  
**SIGNATURE OF PRACTITIONER**

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02815/00105 437278.1

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